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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: SCHRODER ET AL.

SERIAL NO.: 09/926,002

FILED: October 30, 2001

FOR: VACCINE FORMULATION



THE COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith is a communication/amendment in the above-identified application.

Small entity status under 37 CFR 1.9 and 1.27 is claimed.  
 No additional fee is required.

The fee, if any, has been calculated as shown below:

Fee Basis	Number of Claims After Amendment	Highest Number Previously Paid For	Extra Claims	Small Entity	Full Fee
Total Claims	36	- 20 <sup>1</sup>	= 16 <sup>3</sup>	$\times \$9 =$ \$144.00	$\times \$18 =$
Independent Claims	4	- 3 <sup>2</sup>	= 1 <sup>3</sup>	$\times \$42 =$ \$42.00	$\times \$84 =$
<input type="checkbox"/> First Presentation of Proper Multiple Dependent Claim				$+ \$140 =$	$+ \$280 =$
			<b>TOTAL</b>	<b>\$186.00</b>	

<sup>1</sup> If less than 20 enter 20.<sup>2</sup> If less than 3 enter 3.<sup>3</sup> If less than 0 enter 0.

Please charge my Deposit Account Number 02-0200 in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.

A check in the amount of \$186.00 is attached.

The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees due under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is attached.

Also enclosed is/are: Amendment

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23364

PATENT TRADEMARK OFFICE

DATE: May 22, 2002

Respectfully submitted,



Richard E. Fichter  
Attorney for Applicant  
Registration Number: 26,382

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MAY 28 2002  
TECH CENTER 1600/2000



PATENT

# 7/3  
JM  
5/29/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

SCHRÖDER et al.

Group Art Unit: 1645

Serial No. 09/926,002

Examiner: FORD

Filed: October 30, 2001

For: VACCINE FORMULATION

TECH CENTER 1600/2900

MAY 28 2002

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AMENDMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This amendment is in response to the Official Action of February 22, 2002, in connection with the above-identified application and is timely filed within the initial period for response.

Please amend the above-identified application as follows:

IN THE CLAIMS:

Please cancel all of the claims in the application without prejudice or disclaimer and add the following new claims to the application.

11 (New). A vaccine formulation against a mycobacterium comprising, as adjuvant, one or more substances selected from the group consisting of:

a) monoglyceride preparations having at least 80% monoglyceride content and having a formula